

**DECLARATION FOR UTILITY OR
DESIGN PATENT APPLICATION**



DECLARATION SUBMITTED WITH INITIAL FILING

DECLARATION SUBMITTED AFTER INITIAL FILING

Attorney docket number: 393A US

First Named Inventor: Yang

Application No:

Filing Date:

Group Art Unit:

Examiner Name:

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am one of the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled 28-Epirapalogs, the specification of which

☒ is attached hereto.

☐ was filed on _____ as Application Serial No. _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, CFR 1.56(a).

I hereby claim the benefit under Title 35, USC 119(e) of any United States provisional application(s) listed below

Number
60/150,447

Filing Date
8/24/99

As a named inventor, I hereby appoint each of the following to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David L. Bernstein, Registration No. 31,235

of: ARIAD Gene Therapeutics, Inc.
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004299 2964990

Full name of sole or first inventor Wu Yang

Inventor's signature _____ Date _____

Residence 8 Heritage Boulevard, Princeton, NJ, 08540 USAPost Office Address SameCitizenship CN

Full name of second inventor Cheryl A. Digits

Inventor's signature _____ Date _____

Residence 8 Riverside Street #2-3, Watertown, MA 02472 USA

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Citizenship US

Full name of third inventor Leonard Rozamus

Inventor's signature _____ Date _____

Residence 39 Mitchell Grant Way, Bedford, MA 01730 USAPost Office Address Same

Citizenship US

Full name of fourth inventor Dennis A. Holt

Inventor's signature _____ Date _____

Residence 111 Brooke Drive, Royersford, PA 19468 USAPost Office Address Same

Citizenship US

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

Docket Number (Optional)
ARIAD 393A US
Applicant or Patentee: Wu Yang, Cheryl A. Digits, Leonard Rozamus, Dennis A. HoltSerial or Patent No.: UnknownFiled or Issued: HerewithTitle: 28-Epirapalogs

I hereby declare that I am

☐ the owner of the small business concern identified below:☒ an official of the small business concern empowered to act on behalf of the concern identified below:NAME OF SMALL BUSINESS CONCERN ARIAD Gene Therapeutics, IncADDRESS OF SMALL BUSINESS CONCERN 26 Landsdowne Street, Cambridge, MA 02139-4234

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for the purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For the purposes of this statement, (1) the number of employees of the business concern is the average of the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 128(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief and believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING David L. BersteinTITLE OF PERSON IF OTHER THAN OWNER Sr. Vice President - Chief Patent CounselADDRESS OF PERSON SIGNING 26 Landsdowne Street, Cambridge, MA 02139-4234

SIGNATURE

David L Berstein

DATE

8/24/00